

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF TEXAS
WACO DIVISION**

WSOU INVESTMENTS, LLC D/B/A BRAZOS LICENSING AND DEVELOPMENT,	§ CIVIL ACTION 6:20-CV-00473-ADA § CIVIL ACTION 6:20-CV-00474-ADA § CIVIL ACTION 6:20-CV-00475-ADA § CIVIL ACTION 6:20-CV-00476-ADA § CIVIL ACTION 6:20-CV-00477-ADA § CIVIL ACTION 6:20-CV-00478-ADA § CIVIL ACTION 6:20-CV-00479-ADA § CIVIL ACTION 6:20-CV-00480-ADA § CIVIL ACTION 6:20-CV-00481-ADA § CIVIL ACTION 6:20-CV-00482-ADA § CIVIL ACTION 6:20-CV-00485-ADA § CIVIL ACTION 6:20-CV-00486-ADA
Plaintiff,	§ § PATENT CASE § § § JURY TRIAL DEMANDED
v.	
DELL TECHNOLOGIES INC., DELL INC., EMC CORPORATION, AND VMWARE, INC.,	
Defendants.	

PROTECTIVE ORDER

WHEREAS, Plaintiff and Defendants, hereafter referred to as “the parties,” believe that certain information that is or will be encompassed by discovery demands by the parties involves the production or disclosure of trade secrets, confidential business information, or other proprietary information;

WHEREAS, the parties seek a protective order limiting disclosure thereof in accordance with Federal Rule of Civil Procedure 26(c);

THEREFORE, it is hereby stipulated among the parties and ORDERED that:

1. Each party may designate as confidential for protection under this Order, in whole or in part, any document, information or material that constitutes or includes, in whole or in part, confidential or proprietary information or trade secrets of the party or a third party to whom the party reasonably believes it owes an obligation of confidentiality with respect to such

document, information or material (“Protected Material”). Protected Material shall be designated by the party producing it by affixing a legend or stamp on such document, information or material as follows: “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – – ATTORNEYS’ EYES ONLY,” or “HIGHLY CONFIDENTIAL – SOURCE CODE.” The appropriate designation shall be placed clearly on each page of the Protected Material (except deposition and hearing transcripts and natively produced documents) for which such protection is sought. For deposition and hearing transcripts, the appropriate designation shall be placed on the cover page of the transcript (if not already present on the cover page of the transcript when received from the court reporter) by each attorney receiving a copy of the transcript after that attorney receives notice of the designation of some or all of that transcript is designated as containing Protected Material. For natively produced Protected Material, the appropriate designation shall be placed in the filename of each such natively produced document.

2. Any document produced under the Order Governing Proceedings before issuance of this Order with the designation “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” shall receive the same treatment as if designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY” under this Order, unless and until such document is redesignated to have a different classification under this Order.

DESIGNATION

3. With respect to documents, information or material designated “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” “HIGHLY CONFIDENTIAL – FINANCIAL,” or “HIGHLY CONFIDENTIAL – SOURCE CODE”

(“DESIGNATED MATERIAL”),¹ subject to the provisions herein and unless otherwise stated, this Order governs, without limitation: (a) all documents, electronically stored information, and/or things as defined by the Federal Rules of Civil Procedure; (b) all pretrial, hearing or deposition testimony, or documents marked as exhibits or for identification in depositions and hearings; (c) pretrial pleadings, exhibits to pleadings and other court filings; (d) affidavits; and (e) stipulations. All copies, reproductions, extracts, digests and complete or partial summaries prepared from any DESIGNATED MATERIALS shall also be considered DESIGNATED MATERIAL and treated as such under this Order.

4. A designation of Protected Material (i.e., “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” or “HIGHLY CONFIDENTIAL SOURCE CODE”) may be made at any time. Inadvertent or unintentional production of documents, information or material that has not been designated as DESIGNATED MATERIAL shall not be deemed a waiver in whole or in part of a claim for confidential treatment. Any party that inadvertently or unintentionally produces Protected Material without designating it as DESIGNATED MATERIAL may request destruction of that Protected Material by notifying the recipient(s), as soon as reasonably possible after the producing party becomes aware of the inadvertent or unintentional disclosure, and providing replacement Protected Material that is properly designated. The recipient(s) shall then destroy all copies of the inadvertently or unintentionally produced Protected Materials

¹ The term DESIGNATED MATERIAL is used throughout this Protective Order to refer to the class of materials designated as “CONFIDENTIAL,” “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” or “HIGHLY CONFIDENTIAL SOURCE CODE” both individually and collectively.

and any documents, information or material derived from or based thereon.

5. “CONFIDENTIAL” documents, information and material may be disclosed only to the following persons, except upon receipt of the prior written consent of the designating party or upon order of the Court:
 - (a) outside counsel of record in this Action for the parties, and outside counsel retained for the purpose of this litigation;
 - (b) outside counsel’s paralegals, attorneys, and staff who are working at the direction of such outside counsel and are assigned to and reasonably necessary to assist such counsel in the litigation of this Action;
 - (c) no more than 5 in-house counsel for each of the parties who either have responsibility for making decisions dealing directly with the litigation of this Action, or who are assisting outside counsel in the litigation of this Action and who have completed the Undertaking attached as Exhibit A hereto;
 - (d) if the party is an entity, such officers or employees of the party who are actively involved in the prosecution or defense of this case who, prior to any disclosure of Protected Material to such person, have completed the Undertaking attached as Exhibit A hereto;
 - (e) outside consultants or experts² (i.e., not existing employees or affiliates of a party or an affiliate of a party) retained for the purpose of this litigation, provided that: (1) such consultants or experts are not presently employed by the parties hereto for purposes other than this Action; (2) before access is given, the consultant or expert has completed the Undertaking attached as Exhibit A hereto and the same is served upon the producing party with a current curriculum vitae of the consultant or expert at least 7 calendar days before access to the Protected Material is to be given to that consultant or Undertaking to object to and notify the receiving party in writing that it objects to disclosure of Protected Material to the consultant or expert. The

² For any such person, the curriculum vitae shall identify his or her (i) current employer(s), (ii) each person or entity from whom he or she has received compensation or funding for work in his or her areas of expertise or to whom the he or she has provided professional services, including in connection with a litigation, at any time during the preceding five years; (iii) (by name and number of the case, filing data, and location of court) any litigation in connection with which he or she has offered expert testimony, including through a declaration, report, or testimony at a deposition or trial, during the preceding 5 years. If such consultant or expert believes any of this information is subject to a confidentiality obligation to a third-party, then he or she should provide whatever information can be disclosed without violating any confidentiality agreements, and the party seeking to disclose Protected Material to the consultant or expert shall be available to meet and confer with the designating Party regarding any such engagement.

parties agree to promptly confer and use good faith to resolve any such objection. If the parties are unable to resolve any objection, the objecting party may file a motion with the Court within 7 calendar days of the notice, or within such other time as the parties may agree, seeking a protective order with respect to the proposed disclosure. The objecting party shall have the burden of proving the need for a protective order. No disclosure shall occur until all such objections are resolved by agreement or Court order;

- (f) mock jurors and independent litigation support services, including persons working for or as court reporters, graphics or design services, jury or trial consulting services, mediators and their staff, and photocopy, document imaging, and database services retained by counsel and reasonably necessary to assist counsel with the litigation of this Action;
- (g) the Court and its personnel;
- (h) a deponent or witness during, or in good faith preparation for, deposition or trial, if it appears that the witness authored or received a copy of the information, was involved in the subject matter described therein, is employed by the party who produced the Protected Material, or if the producing party consents to such disclosure;
- (i) insurers to whom disclosure is reasonably necessary for purposes of the litigation, provided any such insurer has signed the Undertaking attached hereto as Exhibit A (such signed document to be maintained by the attorney designating such person); and
- (j) any person who was an author, addressee, or intended or authorized recipient of the Protected Material and who agrees to keep the information confidential, provided that such persons may see and use the Protected Material but not retain a copy.

6. A party shall designate documents, information or material as “CONFIDENTIAL” only upon a good faith belief that the documents, information, or material contains confidential or proprietary information or trade secrets of the party or a third party to whom the party reasonably believes it owes an obligation of confidentiality with respect to such documents, information or material. For Protected Material designated “CONFIDENTIAL,” access shall be provided to the individuals identified in paragraph 5, except that (1) in-house attorneys designated according to paragraph 5(c) shall not have access to another party’s

Source Code Material or Financial Material; and (2) insurers designated according to paragraph 5(i) shall not have access to any party's Source Code Material or Financial Material. For purposes of this Order, "Financial Material" is defined as information that includes, but is not limited to, proprietary financial and competitive information that would result in a high risk of harm if known to an opposing competitive decision maker. For avoidance of doubt, this includes, but is not limited to, product pricing, sales information, commission rates, revenue from individual affiliate networks, customer acquisition costs, licenses, agreements, covenants, and competitive analysis.

7. Documents, information or material produced pursuant to any discovery request in this Action, including but not limited to Protected Material designated as DESIGNATED MATERIAL, shall be used by the parties only in the litigation of this Action and shall not be used for any other purpose. Any person or entity who obtains access to DESIGNATED MATERIAL or the contents thereof pursuant to this Order shall not make any copies, duplicates, extracts, summaries or descriptions of such DESIGNATED MATERIAL or any portion thereof except as may be reasonably necessary in the litigation of this Action. Any such copies, duplicates, extracts, summaries or descriptions shall be classified DESIGNATED MATERIALS and subject to all of the terms and conditions of this Order.
8. To the extent a producing party believes that certain Protected Material qualifying to be designated CONFIDENTIAL is so sensitive that its dissemination deserves even further limitation, the producing party may designate such Protected Material "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," or to the extent such Protected Material includes computer source code (i.e., a text listing of computer instructions, commands and data definitions expressed in a form suitable for input to an assembler,

compiler, or other translator) and/or live data (that is, data as it exists residing in a database or databases) (“Source Code Material”), the producing party may designate such Protected Material as “HIGHLY CONFIDENTIAL – SOURCE CODE.”

9. For Protected Material designated “HIGHLY CONFIDENTIAL – ATTORNEYS’ EYES ONLY,” access to, and disclosure of, such Protected Material shall be limited to individuals listed in paragraphs 5(a-c) and (e-h).

SOURCE CODE

10. For Protected Material designated “HIGHLY CONFIDENTIAL – SOURCE CODE,” the following additional restrictions apply:

- (a) Access to a party’s Source Code Material shall be provided on one source code machine with the identical production available for in-person review as follows: on a “stand-alone” computer (that is, the computer may not be linked to any network, including a local area network (“LAN”), an intranet or the Internet) and a display screen or monitor of a size of at least seventeen inches. The stand-alone computer may be connected to (i) a printer, or (ii) a device capable of temporarily storing electronic copies solely for the limited purposes otherwise permitted pursuant to this paragraph 10. Additionally, except as provided in this paragraph 10, the stand-alone computer may only be located at the offices of the producing party’s outside counsel or as otherwise agreed. Should the need arise due to a public health emergency, state, local or national restrictions or travel restriction, which hinder the source code review, the parties shall meet and confer in good faith to discuss the feasibility of making the source code machine available in a location that would allow source code review to occur. The producing party will make the aforementioned source code machine available at a law firm office within a reasonable distance of the receiving party’s expert or law firm. If a law firm office is not available within a reasonable distance of the receiving party’s expert or law firm, the producing party will make its source code machine available at another mutually agreeable location that is within a reasonable distance to the receiving party’s expert or law firm, at the receiving party’s expense.
- (b) The receiving party may, at its own expense, request that the designating party install software on the stand-alone computer provided that such other software is necessary for the receiving party to perform its review of the Source Code Material consistent with all of the protections herein. The receiving party must explain in writing what software or software tool that it requests to install, and why the existing tools on the stand-alone computer are insufficient for the receiving party’s review. The receiving party must provide the designating party with appropriate

access to such software tools(s) at least 5 business days in advance of the date upon which the receiving party wishes to have the additional software available for use on the stand-alone computer. Timely requests for the installation of such software will not be unreasonably denied. In no event shall tools be installed on the stand-alone computer that have the effect of altering, assembling, compiling, modifying, deleting, copying, or otherwise permitting the reproduction or removal of any Source Code Material.

- (c) The receiving party may not bring any electronic devices into the room where the stand-alone computer is provided, including, but not limited to, cameras, cellular phones, laptops, recordable media, or recording devices. The receiving party will not copy, remove, or otherwise transfer or transmit Source Code Material from the stand-alone computer. The receiving party will not remove copies of all or any portion of the Source Code Material from the room in which the Source Code Material is inspected except as otherwise provided herein. The receiving party will not create any other written or electronic record of the Source Code Material except as provided herein. Should the need arise, the parties shall meet and confer regarding any exceptions to this rule in order to minimize the burden to the parties. If the parties are unable to reach agreement, a party seeking an exception may present the dispute to the Court according to the applicable discovery dispute procedure. Upon any such application to the Court, the burden shall be on the party seeking the exception to show good cause why the exception should be provided;
- (d) Outside counsel and their staff or an expert, consultant, or technical advisor for the receiving party shall be entitled to take notes relating to the Source Code Material but may not copy the Source Code Material into the notes. Any such notes shall be maintained at all times in a secure location under the direct control of an individual authorized to view Source Code Material, shall be labeled "HIGHLY CONFIDENTIAL – SOURCE CODE," and shall be destroyed within 30 calendar days of the conclusion of this litigation by settlement or final judgment, including exhaustion of all appeals, as required under this Order (including paragraph 25). Before reviewing the producing party's Source Code Material, any expert, consultant, or technical advisor mentioned in this paragraph must agree in writing not to perform software development work directly or indirectly intended for commercial purposes relating to any functionality covered by the Source Code Material reviewed by the expert, consultant, or technical advisor for a period of one year after the issuance of a final, non-appealable decision resolving all issues in the litigation.
- (e) The receiving party shall make reasonable efforts to restrict its requests for such access to a source code review computer to normal business hours, which for purposes of this paragraph shall be 9:00 a.m. through 5:00 p.m. local time on business days (i.e., weekdays that are not federal holidays). However, upon reasonable notice from the receiving party, the producing party shall make reasonable efforts to accommodate the receiving party's request for access to a

source code review computer outside of normal business hours. The parties agree to cooperate in good faith such that maintaining the producing party's Source Code Material at the office of its outside counsel shall not unreasonably hinder the receiving party's ability to efficiently and effectively conduct the prosecution or defense of this litigation. The receiving party shall provide 14 calendar days written notice of its intent to inspect for its initial inspection of the Source Code Material produced by a designating party and identify in writing the individuals who are qualified to review Source Code Material under this Order and plan to review the Source Code Material during the initial inspection, as well as the employer and curriculum vitae of each of those individuals (unless the receiving party already has provided that particular information to the producing party in the litigation). The producing party must approve in writing each of those individuals before he or she is permitted to review the Source Code Material. The receiving party is limited to designating no more than a total of two individuals to review the producing party's Source Code Material in this litigation. The receiving party shall thereafter provide written notice at least 5 business days prior to any subsequent inspection and identify in writing the individuals who plan to review the Source Code Material during each inspection. The producing party shall in good faith attempt to accommodate reasonable requests for inspection on shorter notice. Those individuals who enter the source code review room shall sign in with the producing party's retained counsel on each day they view Source Code Material on the stand-alone computer, in a log that will include the names of such persons, their time of arrival and departure, and cumulative time spent reviewing the Source Code Material that day. In addition to this log, the receiving party must maintain a complete record of any individuals who review the Source Code Material, whether on the stand-alone computer or on print-outs. The same procedures discussed above apply regardless of whether the inspection is the initial inspection or a subsequent inspection.

- (f) The producing party shall provide the receiving party with information explaining how to start, log on to, and operate the stand-alone computer in order to access the produced Source Code Material on the stand-alone computer.
- (g) The producing party will produce Source Code Material in computer searchable format on the stand-alone computer as described above.
- (h) Access to Protected Material designated "HIGHLY CONFIDENTIAL – SOURCE CODE" shall be limited to outside counsel and up to 3 outside consultants or experts³ (*i.e.*, not existing employees or affiliates of a party or an affiliate of a party) retained for the purpose of this litigation and approved to access such Protected Materials pursuant to paragraph 5(e) above. Except as provided in

³ For the purposes of this paragraph, an outside consultant or expert is defined to include the outside consultant's or expert's staff and other support personnel, working at the direction of such outside consultant or expert, and as such, the disclosure to a consultant's or expert's staff and other support persons shall count as a disclosure to a single consultant or expert.

this Order, absent written permission from the producing party, the receiving party may not create electronic images, or any other images, or make electronic copies, of Source Code Material for use in any manner (including by way of example only, the receiving party may not scan the Source Code Material to a PDF or photograph the code). The receiving party shall not include excerpts of Source Code Material (either graphical copies or copied text) in correspondence between the parties (references to production numbers shall be used instead). Only if it is necessary, and then only to the extent necessary, the receiving party may include excerpts of Source Code Material in a pleading, exhibit, expert report, discovery document, or other court document, provided that such documents are appropriately marked under this order, restricted to those who are entitled to have access to them as specified herein, include the minimal amount of such Source Code Material information that is reasonably necessary, and, if filed with the Court, filed under seal. Wherever possible, the receiving party will make a reasonable and good-faith effort to limit this information to references to production numbers, file names, and line numbers.

- (i) To the extent portions of Source Code Material are quoted in a Source Code Document, either (1) the entire Source Code Document will be stamped and treated as “HIGHLY CONFIDENTIAL – SOURCE CODE” or (2) those pages containing quoted Source Code Material will be separately stamped and treated as “HIGHLY CONFIDENTIAL – SOURCE CODE.”
- (j) Except as otherwise set forth in this paragraph 10, no copies of Source Code Material of any kind shall be made without prior written consent of the producing party, except as necessary to create documents which, pursuant to the Court’s rules, procedures and order, must be filed or served electronically.
- (k) Outside counsel and their staff or an expert or consultant for the receiving party may request two paper copies of print-outs of limited portions of Source Code Material up to a maximum of 100 pages total and up to a maximum of 15 pages of a continuous block of Source Code Material. To the extent reasonably necessary, the parties agree in good faith to meet and confer regarding an increase to either of these print-out page limits. Otherwise, printed portions of Source Code Material outside these page limits shall be presumed to be excessive. Each page printed from Source Code Material will be printed on blue-colored paper and shall be designated and clearly labeled “HIGHLY CONFIDENTIAL – SOURCE CODE.” The producing party shall Bates number, copy, and label “HIGHLY CONFIDENTIAL – SOURCE CODE” any pages printed for the receiving party. The receiving party shall maintain a log of all such files that are printed or photocopied; if the producing party restricts the printing of the Source Code Material such that the persons reviewing the code are unable to take the print-outs with them at the end of a code review day, the producing party shall be responsible to deliver such printouts within 10 business days to an address designated by the receiving party.

- (l) Should such printouts or photocopies be transferred back to electronic media, such media shall be labeled “HIGHLY CONFIDENTIAL – SOURCE CODE” and shall continue to be treated as such.
- (m) Any printouts of Source Code Material made under this paragraph 10 shall be stored or viewed only at (1) a locked location at the offices of outside counsel for the receiving party; (2) a locked location at the offices of a person designated to receive the printouts under this paragraph 10; (3) the site where any deposition is taken; (4) the Court; or (5) any intermediate location necessary to transport the information to one of the foregoing locations. Access to the key(s) used to unlock any such location will be restricted and, upon reasonable request, the receiving party will provide the producing party with a list of the persons who have had access to such key(s). The location must be marked with an “Access Restricted” or similar notice. The receiving party will maintain a log of all persons who have accessed the location to view Source Code Material and will provide a copy of the log to the designating party upon request. Any printouts shall be maintained at all times in a secure location under the direct control of an individual authorized to view Source Code Material, and shall be destroyed within 60 calendar days of the conclusion of this litigation by settlement or final judgment, including exhaustion of all appeals, as required under this Order. Upon reasonable request of the designating party, the receiving party will provide written confirmation of the number of printouts made of Source Code Material.
- (n) Except on a temporary basis in connection with a particular deposition or hearing, the receiving party’s outside counsel may make no more than 5 copies of any printed page of the Source Code Material (on blue-colored paper, as discussed in this paragraph 10) received from a producing party pursuant to this Order. The receiving party’s outside counsel shall maintain a log of all such paper copies of the Source Code Material, including the names of the recipients and storage locations of the same. All such copies shall be designated and clearly labeled “HIGHLY CONFIDENTIAL – SOURCE CODE.” Upon 5 calendar days advance notice from the producing party, the receiving party shall provide a copy of this log to the producing party, and in any event the receiving party shall provide this log to the producing party upon the conclusion of the litigation.
- (o) The parties shall cooperate to take such steps as are reasonably necessary to protect information which contains or discloses the substance of any portion of the Source Code Material from disclosure to the public, including (subject to this Court’s rules) in the course of the trial of this litigation, during any hearing in this litigation, and in any briefing or other filing in this litigation.
- (p) Copies of printed Source Code Material that are marked as deposition exhibits may be provided to the Court reporter during the deposition, but shall not be retained by the Court reporter or attached to deposition transcripts; rather, the deposition

record will identify the exhibit by its production numbers.

(q) A receiving party may request that the producing party make a source code review computer with the Source Code Material available for depositions to the extent reasonably necessary for the furtherance of its claims and defenses in this case. The receiving party shall make such requests at least 14 calendar days before the date of the deposition, or in the case of a deposition scheduled less than 7 calendar days in advance, no later than 24 hours after scheduling such deposition.

PROSECUTION AND ACQUISITION BAR

11. Any attorney representing the plaintiff in this Action, whether in-house or outside counsel, and any person associated with a party and permitted to receive the other party's Protected Material that is designated HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY and/or HIGHLY CONFIDENTIAL – SOURCE CODE and directed to technical information relevant to the case, but excluding financial data (e.g., Financial Material) or non-technical business information (collectively "HIGHLY SENSITIVE MATERIAL"), who obtains, receives, or otherwise learns, in whole or in part, the other party's HIGHLY SENSITIVE MATERIAL under this Order shall not prepare, prosecute, supervise, or assist in the preparation or prosecution of any patent application, or be involved in acquisition activity, pertaining to the field of the invention of the patents-in-suit on behalf of the receiving party or its acquirer, successor, predecessor, or other affiliate during the pendency of this Action and for one year after its conclusion, including any appeals or two years after such person last reviewed the HIGHLY SENSITIVE MATERIAL, whichever is earlier. These prohibitions shall not preclude Plaintiff's litigation counsel from participating in any *inter partes* review, covered business method, or post-grant review proceedings, provided there is no attempt to amend any claims in the proceedings by any counsel for patent owner during the course of the proceedings. To ensure compliance with the purpose of this provision, each party shall create an "Ethical Wall" between those persons with access to

HIGHLY SENSITIVE MATERIAL and any individuals who, on behalf of the party or its acquirer, successor, predecessor, or other affiliate, prepare, prosecute, supervise or assist in the preparation or prosecution of any patent application pertaining to the field of invention of the patent-in-suit.

NO WAIVER OF PRIVILEGE BY VIRTUE OF INADVERTENT DISCLOSURE

12. Nothing in this Order shall require production of documents, information or other material that a party contends is protected from disclosure by the attorney-client privilege, the work product doctrine, or other privilege, doctrine, or immunity. If documents, information or other material subject to a claim of attorney-client privilege, work product doctrine, or other privilege, doctrine, or immunity is inadvertently or unintentionally produced, such production shall in no way prejudice or otherwise constitute a waiver of, or estoppel as to, any such privilege, doctrine, or immunity. Any party that inadvertently or unintentionally produces documents, information or other material it reasonably believes are protected under the attorney-client privilege, work product doctrine, or other privilege, doctrine, or immunity may obtain the return of such documents, information or other material by promptly notifying the recipient(s) and providing a privilege log for the inadvertently or unintentionally produced documents, information or other material. The recipient(s) shall gather and return all copies of such documents, information or other material to the producing party, except for any pages containing privileged or otherwise protected markings by the recipient(s), which pages shall instead be destroyed and certified as such to the producing party.

DUTY TO ENSURE COMPLIANCE

13. There shall be no disclosure of any DESIGNATED MATERIAL by any person authorized

to have access thereto to any person who is not authorized for such access under this Order. The parties are hereby ORDERED to safeguard all such documents, information and material to protect against disclosure to any unauthorized persons or entities. Any party designating any person as someone permitted to receive DESIGNATED MATERIAL under this Order shall have the duty to reasonably ensure that such person observes the terms of this Order and shall be responsible upon breach of such duty for the failure of such person to observe the terms of this Order.

14. Any party knowing or believing that any other party is in violation of or intends to violate this Order and has raised the question of violation or potential violation with the opposing party and has been unable to resolve the matter by agreement may move the Court for such relief as may be appropriate in the circumstances. Pending disposition of the motion by the Court, the party alleged to be in violation of or intending to violate this Order shall discontinue the performance of and/or shall not undertake the further performance of any action alleged to constitute a violation of this Order.
15. Each outside consultant or expert to whom DESIGNATED MATERIAL is disclosed in accordance with the terms of this Order shall be advised by counsel of the terms of this Order, shall be informed that he or she is subject to the terms and conditions of this Order, and shall sign an acknowledgment that he or she has received a copy of, has read, and has agreed to be bound by this Order. A copy of the acknowledgment form is attached as Appendix A.
16. “Data Breach” means but is not limited to: any accidental, unlawful, or unauthorized destruction, alteration, disclosure, misuse, loss, theft, access, copying, use, modification, disposal, compromise, or access to Protected Material or any act or omission that

compromises or undermines the physical, technical, or organizational safeguards put in place by the receiving party in processing or possessing the producing party's Protected Material. The receiving party shall have and maintain appropriate physical, organizational, and technical processes, security standards, guidelines, controls, and procedures to protect against a Data Breach ("Appropriate Safeguards"), and shall ensure that its professional vendors, experts, and any other person or entity that receives Protected Material pursuant to the Protective Order implements and maintains Appropriate Safeguards.

17. In the event of a Data Breach impacting any Protected Material under this Protective Order, the party suffering said Data Breach, and each party with knowledge thereof, shall immediately notify counsel for the producing party whose Protected Material has been compromised or otherwise potentially accessed, all known relevant information concerning the nature and circumstances of the Data Breach, shall promptly take all reasonable measures to correct the Data Breach, and shall work in good faith with the producing party to ensure that no further unauthorized disclosure and/or use thereof is made, or to mitigate the impacts of any such unauthorized disclosure and/or use thereof.

USE OF DESIGNATED MATERIAL

18. All Protected Material provided by any party or nonparty in the course of this litigation shall be used solely for the purpose of preparation, trial, and appeal of this litigation, as well as reexamination, *inter partes* review, covered business method review, or other post-grant review proceeding in the U.S. Patent and Trademark Office related to the patents-in-suit, and for no other purpose, and shall not be disclosed except in accordance with the terms hereof. The provisions of this order will govern any post-grant review proceeding until an appropriate protective order is in place for that proceeding.

19. Except as otherwise restricted under the provisions set forth in paragraph 10 of this Order, nothing contained herein shall be construed to prejudice any party's right to use any DESIGNATED MATERIAL in taking testimony at any deposition or hearing provided that the DESIGNATED MATERIAL is only disclosed to a person(s) who is: (i) eligible to have access to the DESIGNATED MATERIAL by virtue of his or her employment with the designating party, (ii) identified in the DESIGNATED MATERIAL as an author, addressee, or copy recipient of such information, (iii) although not identified as an author, addressee, or copy recipient of such DESIGNATED MATERIAL, has, in the ordinary course of business, seen such DESIGNATED MATERIAL, (iv) a current or former officer, director or employee of the producing party or a current or former officer, director or employee of a company affiliated with the producing party; (v) counsel for a party, including outside counsel and in-house counsel (subject to paragraph 9 of this Order); (vi) an independent contractor, consultant, and/or expert retained for the purpose of this litigation; (vii) court reporters and videographers; (viii) the Court; or (ix) other persons entitled hereunder to access DESIGNATED MATERIAL. DESIGNATED MATERIAL shall not be disclosed to any other persons unless prior authorization is obtained from counsel representing the producing party or from the Court.
20. Parties may, at the deposition or hearing or within 30 calendar days after receipt of a deposition or hearing transcript, designate the deposition or hearing transcript or any portion thereof as "CONFIDENTIAL," "RESTRICTED – ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL – SOURCE CODE" pursuant to this Order. Access to the deposition or hearing transcript so designated shall be limited in accordance with the terms of this Order. Until expiration of the 30-day period, the entire deposition

or hearing transcript shall be treated as confidential.

21. Any DESIGNATED MATERIAL that is filed with the Court shall be filed under seal and shall remain under seal until further order of the Court. The filing party shall be responsible for informing the Clerk of the Court that the filing should be sealed and for placing the legend “FILED UNDER SEAL PURSUANT TO PROTECTIVE ORDER” above the caption and conspicuously on each page of the filing. Exhibits to a filing shall conform to the labeling requirements set forth in this Order. If a pretrial pleading filed with the Court, or an exhibit thereto, discloses or relies on confidential documents, information or material, such confidential portions shall be redacted to the extent necessary and the pleading or exhibit filed publicly with the Court.

CHALLENGING DESIGNATIONS

22. A party may request in writing to the other party that the designation given to any DESIGNATED MATERIAL be modified or withdrawn. The parties shall try to resolve the dispute in good faith on an informal basis, and must begin the process within 14 calendar days of the date of the written notice. The challenging party must explain the basis for its belief that the confidentiality designation was not proper, and must give the designating party an opportunity to review the designated material, to reconsider the circumstances, and, if no change in designation is offered, to explain the basis for the chosen designation. Only if the designating party does not agree to redesignation within 14 calendar days of the parties agreeing that the meet-and-confer process will not resolve their dispute, the requesting party may apply to the Court for relief. Upon any such application to the Court, the burden shall be on the designating party to show why its classification is proper. Such application shall be treated procedurally as a motion to

compel pursuant to Rule 37 of the Federal Rules of Civil Procedure, subject to the Rule's provisions relating to sanctions. In making such application, the requirements of the Federal Rules of Civil Procedure and the Local Rules of the Court shall be met. Pending the Court's determination of the application, the designation of the designating party shall be maintained.

THIRD PARTIES

23. To the extent that any discovery is taken of persons who are not parties to this Action ("Third parties") and in the event that such Third parties contended the discovery sought involves trade secrets, confidential business information, or other proprietary information, then such Third parties may agree to be bound by this Order.
24. To the extent that discovery or testimony is taken of Third parties, the Third parties may designate as "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY" any documents, information or other material, in whole or in part, produced or given by such Third parties. The Third parties shall have 10 calendar days after production of such documents, information or other materials to make such a designation. Until that time period lapses or until such a designation has been made, whichever occurs sooner, all documents, information or other material so produced or given shall be treated as "CONFIDENTIAL" in accordance with this Order.

RETURN/DESTRUCTION OF MATERIALS

25. Within 30 calendar days of final termination of this Action, including any appeals, all DESIGNATED MATERIAL, including all copies, duplicates, abstracts, indexes, summaries, descriptions, and excerpts or extracts thereof (excluding excerpts or extracts incorporated into any privileged memoranda of the parties and materials which have been admitted into

evidence in this Action), shall at the producing party's election either be returned to the producing party or be destroyed. The receiving party shall verify the return or destruction by affidavit furnished to the producing party, upon the producing party's request.

* * *

26. The failure to designate documents, information or material in accordance with this Order and the failure to object to a designation at a given time shall not preclude the filing of a motion at a later date seeking to impose such designation or challenging the propriety thereof. The entry of this Order and/or the production of documents, information and material hereunder shall in no way constitute a waiver of any objection to the furnishing thereof, all such objections being hereby preserved.
27. Production of DESIGNATED MATERIAL by each of the parties shall not be deemed a publication of the documents, information and material (or the contents thereof) produced so as to void or make voidable whatever claim the parties may have as to the proprietary and confidential nature of the documents, information or other material or its contents.
28. Nothing in this Order shall be construed to effect an abrogation, waiver or limitation of any kind on the rights of each of the parties to assert any applicable discovery or trial privilege.
29. This Order shall not bar any attorney in the course of rendering advice to such attorney's client with respect to this litigation from conveying to any party or client the attorney's evaluation in a general way of Protected Material produced or exchanged under the terms of this order; provided, however, that in rendering such advice and otherwise communicating with the client, the attorney shall not disclose the specific contents of any Protected Material produced by another party if such disclosure would be contrary to the terms of this Protective Order.

30. Each of the parties shall also retain the right to file a motion with the Court (a) to modify this Order to allow disclosure of DESIGNATED MATERIAL to additional persons or entities if reasonably necessary to prepare and present this Action and (b) to apply for additional protection of DESIGNATED MATERIAL.

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF TEXAS
WACO DIVISION**

WSOU INVESTMENTS, LLC D/B/A
BRAZOS LICENSING AND
DEVELOPMENT,

Plaintiff,

v.

DELL TECHNOLOGIES INC., DELL
INC., EMC CORPORATION, AND
VMWARE, INC.,

Defendants.

§ CIVIL ACTION 6:20-CV-00473-ADA
§ CIVIL ACTION 6:20-CV-00474-ADA
§ CIVIL ACTION 6:20-CV-00475-ADA
§ CIVIL ACTION 6:20-CV-00476-ADA
§ CIVIL ACTION 6:20-CV-00477-ADA
§ CIVIL ACTION 6:20-CV-00478-ADA
§ CIVIL ACTION 6:20-CV-00479-ADA
§ CIVIL ACTION 6:20-CV-00480-ADA
§ CIVIL ACTION 6:20-CV-00481-ADA
§ CIVIL ACTION 6:20-CV-00482-ADA
§ CIVIL ACTION 6:20-CV-00485-ADA
§ CIVIL ACTION 6:20-CV-00486-ADA
§
§ PATENT CASE
§
§
§ JURY TRIAL DEMANDED

**APPENDIX A
UNDERTAKING OF EXPERTS OR CONSULTANTS REGARDING
PROTECTIVE ORDER**

I, _____, declare that:

1. My address is _____.
My current employer is _____.
My current occupation is _____.
2. I have received a copy of the Protective Order in this action. I have carefully read and understand the provisions of the Protective Order.
3. I will comply with all of the provisions of the Protective Order. I will hold in confidence, will not disclose to anyone not qualified under the Protective Order, and will use only for purposes of this action any information designated as "CONFIDENTIAL," "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL –

SOURCE CODE" that is disclosed to me.

4. Promptly upon termination of these actions, I will return all documents and things designated as "CONFIDENTIAL," "HIGHLY CONFIDENTIAL – ATTORNEYS' EYES ONLY," or "HIGHLY CONFIDENTIAL – SOURCE CODE" that came into my possession, and all documents and things that I have prepared relating thereto, to the outside counsel for the party by whom I am employed.
5. I hereby submit to the jurisdiction of this Court for the purpose of enforcement of the Protective Order in this action.

I declare under penalty of perjury that the foregoing is true and correct.

Signature _____

Date _____